

IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Debargha Mukherjee et al.

Confirmation No.:

Application No.:

Examiner:

Filing Date:

Group Art Unit:

Title: Communications Methods, Communications Session Organizers, Communications Session Participants, Articles of Manufacture, and Communications Systems

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

EL979977660

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97(b), or
(Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97(c) together with either a:
☐ Statement under 37 CFR 1.97(e), or
☐ a \$180.00 fee under 37 CFR 1.17(p), or
(After the 37 CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97 (d) together with a:
☐ Statement under 37 CFR 1.97(e)(1) or (2), and
☐ a \$180.00 fee set forth in 37 CFR 1.17(p).
(Filed after final action, a notice of allowance, on or before payment of the issue fee)

Please charge to Deposit Account **08-2025** the sum of \$0.00. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account **08-2025** pursuant to 37 CFR 1.25.

☒ Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Statement together with any required copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

☐ A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individuals(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

"Express Mail" label no. **EL 979977660 US**

Date of Deposit 10/31/03

I hereby certify that this is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Commissioner for Patents, Alexandria, VA 22313-1450.

By

Typed Name: Trinity Coxon

Respectfully submitted,

Debargha Mukherjee et al.

By

James D. Shaurette

Attorney/Agent for Applicant(s)

Reg. No. **39,833**

Date: 10/31/03

PATENT APPLICATION

Sheet 1 of 1

<p>FORM PTO-1449</p> <p>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</p> <p align="center">(Use several sheets if necessary)</p>	<p>ATTY. DOCKET NO. 10017341-1</p>	<p>APPLICATION NO.</p>	<p>CONFIRMATION NO.</p>
<p>APPLICANT Debargha Mukherjee et al.</p>			
<p>FILING DATE</p>		<p>GROUP</p>	

REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	1A	5,838,973	11/17/1998	Carpenter-Smith et	
	1B	5,867,654	2/2/1999	Ludwig et al.	
	1C	5,993,314	11/30/1999	Dannenberg et al.	
	1D	6,288,753 B1	9/11/2001	DeNicola et al.	
	1E	2003-0163818	8/28/2003	Armstrong et al.	
	1F	2002-0142279	10/3/2002	Thean et al.	
	1G				
	1H				
	1I				
	1J				
	1K				

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
	1L					
	1M					
	1N					
	1O					
	1P					

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

1Q	"System, Method and Format Thereof For Scalable Encoded Media Delivery"; Mukherjee et al.; U.S. patent application Serial No. 10/196,506; Filed 7/15/2002; client docket no. 100202339-1.
1R	"Proposals For End-to-End Digital Item Adaption Using Structured Scalable Meta-formats (SSM)"; Mukherjee et al.; HP Laboratories; 10/2002; pps. 1-78.
1S	"Communications Methods, Compressed Media Data Decoding Methods, Compressed Media Data Decoders, Articles Of Manufacture, And Data Communications Systems," Debarargha Mukherjee; Attorney Docket No. 100201426-1; Filed Concurrently.

EXAMINER	DATE CONSIDERED
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